

PTO/SB/21 (09-06)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/690,147

Filing Date October 21, 2003

First Named Inventor Stephen H. Canonico

Art Unit 3682

Examiner Name Chong Kim

Attorney Docket Number A3,041

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☒ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement

- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/
Incomplete Application
- ☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53

- ☒ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation
Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify
below):
itemized return receipt postcard; Transmittal
Letter; Replacement Drawing sheet (1 sheet);
Annotated Drawing sheet (1 sheet)

Remarks

Amendment is 10 pages

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Walker, McKenzie & Walker, P.C.

Signature

Printed name Russell H. Walker

Date 10/12/2006

Reg. No. 35,401

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

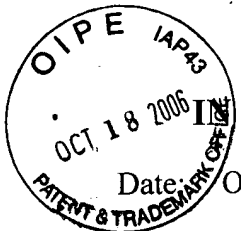
Signature

Typed or printed name Russell H. Walker, USPTO Reg. 35,401

Date 10/12/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: October 12, 2006

TRANSMITTAL LETTER

In re: Applicant(s): Stephen H. Canonico
For: Hand-Operated Jointed Control Lever
Application No.: 10/690,147
Filed: October 21, 2003
Confirmation No.: 3912
Examiner: Chong Kim
T.C. / Art Unit: 3682
Assignee: Appalachian Cast Products, Inc.
Att'y Docket No.: A3,041

TO THE COMMISSIONER FOR PATENTS:

Enclosed herewith are the documents set forth hereinbelow for processing:

Transmittal Form (PTO SB-21); Amendment (10 pages); Substitute Formal

Drawings (1 sheet); Annotated Drawing Sheets (1 sheet); and a return postcard.

Small entity status has previously been granted and has not changed.

Please charge any additional fees or credit any overpayment concerning the filing of the enclosed documents to Deposit Account No. 23-0125.

Respectfully submitted,

APPALACHIAN CAST PRODUCTS, INC.,
Assignee

Date: 10/12/2006

By: Russell H. Walker

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 10/12/2006.

Russell H. Walker
Russell H. Walker Reg. No. 35,401

Date: 10/12/2006

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